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Information-Based Physics: An Intelligent Embedded Agent's Guide to the Universe

Kevin H. Knuth University at Albany, State University of New York, kknuth@albany.edu

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Information-Based Physics: An Intelligent Embedded Agent's Guide to the Universe

Kevin H. Knuth Depts. of Physics and Informatics University at Albany (SUNY)

Santa Fe Institute, 26 March 2013

Heel Daoyin - Peter Jansen

Influence

I know about the universe because it influences me

In fact, **everything** I know about the universe is conveyed via such influences.

Moreover, I cannot come to know about what does not influence me.

Agent-Centric View

Everything I can know is completely describable in terms of how it influences me

Information

Information acts to constrain our beliefs

You can believe anything you want… until you obtain information

Physical Laws are shaped by three factors:

- The nature of influence
- Constraints on the quantification of such influences
- Inferences that can be made from the information obtained via influences

Physical Laws are shaped by three factors:

- The nature of influence
- Constraints on the quantification of such influences
- Inferences that can be made from the information obtained via influences

Information-Based Physics

Progress

Derivation of Probability Theory as a quantification of the Boolean algebra of statements Knuth, K.H., Skilling, J. 2012. *Axioms* 1:38-73. [arXiv:1008.4831](http://arxiv.org/abs/1008.4831) [math.PR]

Derivation of the Feynman Path Integral Formulation of **Quantum Mechanics** as a quantification of measurement sequences

Goyal P., Knuth K.H., Skilling J. 2010. *Phys. Rev.* A 81, 022109. [arXiv:0907.0909v3](http://arxiv.org/abs/0907.0909v3) [quant-ph] Goyal P., Knuth K.H. 2011. *Symmetry* 3(2):171-206.

Quantification

"Measure what is measurable, and make measurable that which is not so."

Galileo Galilei

Map order-theoretic or algebraic entities to sets of real numbers, and encode operations on those entities in terms of operations on numbers (*Laws)*.

The challenge is to do this in an apt and consistent manner.

The utility lies in the fact that many problems possess similar symmetries, which lead to identical laws.

Inference

States and Statements

states of a piece of fruit

statements about a piece of fruit

statements describe potential states

Implication

statements about a piece of fruit

ordering encodes implication

Inference

Quantify to what degree knowing that the system is in one of three states {a, b, c} implies knowing that it is in some other set of states

statements about a piece of fruit

Bi-Valuation: $p({c} | {a,b,c})$

inference works backwards

Associativity

The Associativity Equation

The algebraic symmetry of associativity along with a concept of ordering

$$
(a \vee b) \vee c = a \vee (b \vee c)
$$

must be preserved by our quantification

 $(v(a) \oplus v(b)) \oplus v(c) = v(a) \oplus (v(b) \oplus v(c))$

This means that the operation ⊕ is a transform of **addition** (Aczel 1966, Knuth & Skilling 2012):

$$
h(v(a \vee b)) = h(v(a) \oplus v(b)) = h(v(a)) + h(v(b))
$$

General Case

Probability

Associativity of Join $p(a \vee b \mid i) = p(a \mid i) + p(b \mid i) - p(a \wedge b \mid i)$

Associativity of Direct Product of Hypothesis Spaces $p(a, b | i, j) = p(a | i) p(b | j)$

Associativity of Context $p(a | c) = p(a | b) p(b | c)$

which can be used to derive Bayes theorem

Knuth, K.H., Skilling, J. 2012. *Axioms* 1:38-73. [arXiv:1008.4831](http://arxiv.org/abs/1008.4831) [math.PR]

Why Sums Rule

$$
p(x \vee y | i) = p(x | i) + p(y | i) - p(x \wedge y | i)
$$

$$
I(X; Y) = H(X) + H(Y) - H(X, Y)
$$

$$
\max(x, y) = x + y - \min(x, y)
$$

$$
\chi = V - E + F
$$

$$
\log(\gcd(x, y)) = \log(x) + \log(y) - \log(\text{lcm}(x, y))
$$

Quantum Measurement Sequences

Measurement Sequences

Quantify a quantum mechanical measurement sequence [m1, m2, m3] with a pair of real numbers (a_1, a_2) .

Parallel Combination of Measurements

Parallel Combination of Measurements

Goyal, Knuth, Skilling, 2010. PRA 81, 022109, arXiv:0907.0909v3 [quant-ph] Goyal, Knuth, 2011. Symmetry, 3(2):171-206.<http://www.mdpi.com/2073-8994/3/2/171>

Serial Combination of Measurements

Goyal, Knuth, Skilling, 2010. PRA 81, 022109, arXiv:0907.0909v3 [quant-ph] Goyal, Knuth, 2011. Symmetry, 3(2):171-206.<http://www.mdpi.com/2073-8994/3/2/171>

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Quantum vs. Classical States

QM Slit Experiments

The Classical State Space is an Antichain.

Whereas the QM space of measurement sequences is a partially ordered set.

Quantum Amplitudes and Probabilities

 $|Z_L|^2 + |Z_R|$

2

Measurement Sequences

Complex amplitudes quantify relationships among sequences

Statements about Sequences

L R LR

 $|Z_R|^2$

L V R L V LR R V LR

L v R v LR

Complex amplitudes are used to compute probabilities

 $|Z_{LR}|^2$

Current Progress

Space-Time Relationships from a quantification of causal sets of events

Knuth, K.H., Bahreyni. 2012. *in review*. [arXiv:1209.0881](http://arxiv.org/abs/1209.0881) [math-ph]

Derivation of the Dirac Equation in 1+1 Dimensions as a quantification of direct particle-particle influence

Knuth K.H. 2012. MaxEnt 2012 Proceedings. [arXiv:1212.2332](http://arxiv.org/abs/1212.2332) [quant-ph]

Influence

Influence

Look only at the most basic property of influence and see what physics we get

Influence-Induced Order

 $\bm{\mathsf{B}}$

A influences B B is influenced by A

A

Events

Define a pair of Events as the Boundary of Influence

Poset of Events

A set of influences can be described by a **partially-ordered set (poset)** of events.

Chains, which are totally ordered, represent a sequence of events.

Quantification

Rather than endowing the poset with additional properties, our goal is simply to identify a consistent means by which events in the poset can be aptly quantified.

Quantifying a Chain

Chains are easily quantified by a **monotonic valuation** assigning to each element a real number

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Quantification with Pairs

Quantification can be extended by relating poset elements to the embedded chain via **chain projection.**

For an element x, there is the potential to be quantified by a pair of numbers

Quantification by Chain Projection

Intervals

Closed Intervals Reside on Chains

Generalized Intervals

Generalized intervals are defined by their endpoint elements.

They can be quantified by:

4-tuples: $(p_b, \bar{p}_b; p_a, \bar{p}_a)$ Pairs: $(p_b - p_a, \ \bar{p}_b - \bar{p}_a)$ Scalars (theorem): $(p_h - p_a)(\bar{p}_h - \bar{p}_a)$

Generalized Intervals

4-tuple: $(p_b, \bar{p}_b; p_a, \bar{p}_a)$

Pair: $(\Delta p, \Delta \bar{p})$

Scalar (theorem): $\Delta p \Delta \bar{p}$

Quantifying Intervals

Quantifying Intervals

Quantifying Intervals

Induced Subspaces

Collinearity

Betweeness

Induced Subspaces

Every pair of chains induces a subspace in a poset

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1+1 Dimensions

Collinear chains can be ordered. This induced subspace brings with it an additional dimension. There can be many induced subspaces. A B C x Dx CDx $Ax = ABCDx$ **BCD_x**

Coordinated Chains

are two chains that agree on lengths of each others intervals

This construct will allow us to explore quantification using only the fact that they are influenced

CONSISTENCY PRINCIPLE

If two chains (agents) agree on the quantification of each others' closed intervals, then they must agree on the quantification of every interval they both observe.

Quantification via Coordinated Chains

Distance

Interval Classes

Closed Intervals Reside on Chains

Distance Along Chains

The length of a purely chain-like interval can be written as:

$$
d(a,b)=\frac{\Delta p+\Delta q}{2}
$$

Distance Between Coordinated Chains

Collinear chains can be ordered.

Intervals defined between chains when joined obey associativity.

This implies that the quantification of the distance between coordinated chains must be additive (linear).

Distance Between Chains

Symmetric-Antisymmetric Decomposition

Decomposition

Minkowskian Form

THEOREM: Minkowskian Form

The pair when decomposed into symmetric and anti-symmetric pairs

$$
(\Delta p, \Delta q) = \left(\frac{\Delta p + \Delta q}{2}, \frac{\Delta p + \Delta q}{2}\right) + \left(\frac{(\Delta p - \Delta q)}{2}, \frac{-(\Delta p - \Delta q)}{2}\right)
$$

defines the scalar

$$
\Delta p \Delta q = \left(\frac{\Delta p + \Delta q}{2}\right)^2 - \left(\frac{\Delta p - \Delta q}{2}\right)^2
$$

which is the sum of the scalars defined by the pairs resulting from the decomposition.

Transformation

Linearly Related Chains

Consider two chains that project onto one another in a constant fashion:

 $(ak, bk)_P = (am, bn)_{P'}$

so that the scalar

 $k^2 = mn$

Pair Transformation

THEOREM: Generalized Lorentz Transformation

A pair quantifying an interval in the frame PQ is related to the pair quantifying an interval in the frame P'Q' by

$$
L_{PQ\rightarrow P'Q'} (\Delta p, \Delta q)_{PQ} = \left(\Delta p \sqrt{\frac{m}{n}}, \Delta q \sqrt{\frac{n}{m}}\right)_{P'Q'}
$$

where the chain-like interval in PQ quantified by (\sqrt{mn}, \sqrt{mn}) projects to (m, n) in P'Q'

SPACE-TIME PICTURE

Spacetime By Kyle Haller

Time reflects the fact that everything does not happen at once

Space reflects the fact that everything does not happen to you

Susan Sontag

Space-Time Picture

$$
\Delta t = \frac{\Delta p + \Delta q}{2}
$$

$$
\Delta x = \frac{\Delta p - \Delta q}{2}
$$

Minkowski Metric

$$
\Delta p \Delta q = \left(\frac{\Delta p + \Delta q}{2}\right)^2 - \left(\frac{\Delta p - \Delta q}{2}\right)^2
$$

$$
\Delta s^2 = \Delta t^2 - \Delta x^2
$$

Lorentz Transformation

The pair transformation

$$
(\Delta p', \Delta q') = (\Delta p \sqrt{\frac{m}{n}}, \Delta q \sqrt{\frac{n}{m}})
$$

Can be rewritten as

$$
(\frac{\Delta t' + \Delta x'}{2}, \frac{\Delta t' - \Delta x'}{2}) = (\frac{\Delta t + \Delta x}{2}, \sqrt{\frac{m}{n}}, \frac{\Delta t - \Delta x}{2}, \sqrt{\frac{n}{m}})
$$
Lorentz Transformation

Natural Speed Limit

 $P₂$

 $p₁$

Maximum speed occurs when $n = \Delta q = 0$

$$
\beta = \frac{m-n}{m+n} = +1
$$

or when $m = \Delta p = 0$ so that

$$
\beta = \frac{m-n}{m+n} = -1
$$

Such intervals have the same speed $\beta = \pm 1$ in all frames

$$
(\Delta p', \Delta q') = \left(0 \frac{\sqrt{m}}{\sqrt{n}}, \Delta q \frac{\sqrt{n}}{\sqrt{m}}\right) = (0, \Delta q')
$$

 q_1

 $q₂$

The Free Particle

Free Particle

A **free particle** influences but is not influenced

Coordinated chains define a 1+1 dimensional subspace

P and Q : Observer Chains Π : "Particle"

Poset connections represent **direct particle-particle interactions** where Π can influence one observer at a time.

Observer Detections

Observer chain P is influenced at p1, p3, p4, p6

Observer chain Q is influenced at q2, q5, q7

Incomplete Information

Observer chain P is influenced at p1, p3, p4, p6

Observer chain Q is influenced at q2, q5, q7

However, even by combining detections, the particle interaction pattern cannot be uniquely reconstructed

Reconstruction Attempts

There are $\binom{3+4}{2}$ 3 = $3 + 4$ 4 = 35 possible reconstructions!

BIT from IT

PPPPQQQ PPPQPQQ … PQPPQPQ … QPPQPQP QQQPPPP

The detected interactions result in 35 possible bit strings.

Poset Picture: 35 possible interaction patterns Space-Time Picture: 35 possible space-time paths

Zitterbewegung

The particle is interpreted as zig-zagging back-and-forth at the maximum speed, $\beta = \pm 1$

 $\beta =$ $\Delta p - \Delta q$ $\Delta p + \Delta q$

It acts as if making bishop-moves on a chessboard

Uncertainty in Position and Speed

Nothing moves in this picture. Particles "transition" based on their interactions.

Space-Time Picture (IT from BIT)

No continuous motion. Only transition defined by interaction.

Positions (and velocities) are not well-defined.

All possible paths must be considered

 q_{1}

 q_{0}

QPP

 Xf

Space-Time Picture (IT from BIT)

Feynman Checkerboard Model of the Dirac Eqn. Feynman & Hibbs, 1965

Investigated by many others eg: Gaveau, Schulman, McKeon, Ord, Gersch, Plavchan, Earle

Inference

Entropy of a Bit Sequence

$$
Prob(P) = \frac{\Delta P}{\Delta P + \Delta Q}
$$

\n
$$
Prob(Q) = \frac{\Delta Q}{\Delta P + \Delta Q}
$$

\n
$$
S = \frac{\Delta P}{\Delta P + \Delta Q} \log \frac{\Delta P}{\Delta P + \Delta Q} + \frac{\Delta Q}{\Delta P + \Delta Q} \log \frac{\Delta Q}{\Delta P + \Delta Q}
$$

\n
$$
\Delta P = \Delta t + \Delta x
$$

\n
$$
\Delta Q = \Delta t - \Delta x
$$

\n
$$
\Delta P + \Delta Q = 2 \Delta t
$$

\n
$$
\Delta P + \Delta Q = 2 \Delta t
$$

$$
\frac{\Delta P}{\Delta P + \Delta Q} = \frac{\Delta t + \Delta x}{2\Delta t} = \frac{1}{2} (1 + \beta)
$$

$$
\frac{\Delta Q}{\Delta P + \Delta Q} = \frac{\Delta t - \Delta x}{2\Delta t} = \frac{1}{2} (1 - \beta)
$$

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Entropy of a Bit Sequence

Inferences about Sequences

Initial State is Uncertain!

Two Components

Handled by summing over two paths per component

Moves = Matrices

Only Two Possibilities

$$
Prob\left(\left(r-\frac{\Delta r}{2}, t+\frac{\Delta t}{2}\right)\middle| (r, t)\right) + Prob\left(\left(r+\frac{\Delta r}{2}, t+\frac{\Delta t}{2}\right)\middle| (r, t)\right) = 1
$$

Matrix Constraints

$$
Prob\left(\left(r - \frac{\Delta r}{2}, t + \frac{\Delta t}{2}\right) \middle| (r, t)\right) + Prob\left(\left(r + \frac{\Delta r}{2}, t + \frac{\Delta t}{2}\right) \middle| (r, t)\right) = 1
$$

$$
(Q\varphi)^{\dagger}(Q\varphi) + (P\varphi)^{\dagger}(P\varphi) = 1
$$

$$
\varphi^{\dagger}(Q^{\dagger}Q + P^{\dagger}P)\varphi = 1
$$

$$
Q^{\dagger}Q + P^{\dagger}P = I
$$

Matrix Constraints

Since
$$
P = \begin{pmatrix} x & y \\ 0 & 0 \end{pmatrix}
$$
 $Q = \begin{pmatrix} 0 & 0 \\ y & x \end{pmatrix}$
 $Q^{\dagger}Q + P^{\dagger}P = I$

is

$$
\begin{pmatrix} 0 & y^* \\ 0 & x^* \end{pmatrix} \begin{pmatrix} 0 & 0 \\ y & x \end{pmatrix} + \begin{pmatrix} x^* & 0 \\ y^* & 0 \end{pmatrix} \begin{pmatrix} x & y \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}
$$

which implies

$$
x^*x + y^*y = 1
$$

$$
x^*y + y^*x = 0
$$

Solving Constraints

Write
$$
x = ae^{i\alpha}
$$
 $y = be^{i\beta}$

the constraints * $x + y$ * $y = 1$ $x^*y + y^*x = 0$

become

$$
a^*a + b^*b = 1
$$

$$
e^{i\theta} + e^{-i\theta} = 0
$$

Where $\theta = \alpha - \beta$

Solution

$$
a^*a + b^*b = 1
$$

$$
e^{i\theta} + e^{-i\theta} = 0
$$

The relative phase angle θ must be $\frac{\pi}{2}$ 2 or 3π 2 (need complex numbers)

The amplitudes describe the relative probability of changing direction.

Consider the case where these are equal:

$$
a = b = \frac{1}{\sqrt{2}}
$$

Transfer Matrices

Choosing x to be real, we have

$$
P = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & i \\ 0 & 0 \end{pmatrix} \qquad Q = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 0 \\ i & 1 \end{pmatrix}
$$

So that

$$
P \begin{pmatrix} \varphi_P \\ 0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \varphi_P \\ 0 \end{pmatrix}
$$

$$
P \begin{pmatrix} 0 \\ \varphi_Q \end{pmatrix} = \frac{i}{\sqrt{2}} \begin{pmatrix} \varphi_Q \\ 0 \end{pmatrix}
$$

$$
Q \begin{pmatrix} \varphi_P \\ 0 \end{pmatrix} = \frac{i}{\sqrt{2}} \begin{pmatrix} 0 \\ \varphi_P \end{pmatrix}
$$

$$
Q \begin{pmatrix} 0 \\ \varphi_Q \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ \varphi_Q \end{pmatrix}
$$

Transfer Matrices

Choosing x to be real, we have

$$
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$$

So that

$$
P \begin{pmatrix} \varphi_P \\ 0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \varphi_P \\ 0 \end{pmatrix}
$$

$$
P \begin{pmatrix} 0 \\ \varphi_Q \end{pmatrix} = \frac{\hat{U}}{\sqrt{2}} \begin{pmatrix} \varphi_Q \\ 0 \end{pmatrix}
$$
Factor of i on reversal

$$
Q \begin{pmatrix} \varphi_P \\ 0 \end{pmatrix} = \frac{\hat{U}}{\sqrt{2}} \begin{pmatrix} 0 \\ \varphi_P \end{pmatrix}
$$
Factor of i on reversal

$$
Q \begin{pmatrix} 0 \\ \varphi_Q \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ \varphi_Q \end{pmatrix}
$$

Space-Time Picture (IT from BIT)

Assign an $i\epsilon$ for every reversal.

Sum over all possible paths.

Yields Dirac Equation for 1+1 dimensions

Feynman Checkerboard Model of the Dirac Eqn. Feynman & Hibbs, 1965

Investigated by many others eg: Gaveau, Schulman, McKeon, Ord, Gersch, Plavchan, Earle

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Publications

Knuth K.H., Bahreyni N. 2012. The Physics of Events: A Potential Foundation for Emergent Space-Time. arXiv:1209.0881v1 [math-ph]

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